

|   |                     |                 |
|---|---------------------|-----------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | 1-24671         |
|   | First Inventor      | Peter Burnhardt |
|   | Title               | BALL JOINT      |
|   | Express Mail Label  | EL 863359689 US |

|   |  |
|---|--|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   | <b>ADDRESS TO:</b><br>Commissioner for Patents<br>Mail Stop Patent Application<br>P. O. Box 1450<br>Alexandria VA 22313-1450 |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)   | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)                       |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.   | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)  |
| 3. <input checked="" type="checkbox"/> Specification (Total Pages <input type="text" value="6"/> )<br>(preferred arrangement set forth below)   | a. <input type="checkbox"/> Computer Readable Form (CRF)   |
| - Descriptive title of the invention  | b. Specification Sequence Listing on:  |
| - Cross Reference to Related Applications   | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |
| - Statement Regarding Fed sponsored R & D   | ii. <input type="checkbox"/> Paper   |
| - Reference to sequence listing, a table,<br>or a computer program listing appendix   | c. <input type="checkbox"/> Statements verifying identity of above copies  |
| - Background of the Invention   |  |
| - Brief Summary of the Invention  |  |
| - Brief Description of the Drawings (if filed)  |  |
| - Detailed Description  |  |
| - Claim(s)  |  |
| - Abstract of the Disclosure  |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Total Sheets <input type="text" value="1"/> )  |  |
| 5. Oath or Declaration (Total Pages <input type="text" value="2"/> )  |  |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)  |  |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 18 completed)   |  |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s)<br>named in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b). |  |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |  |

|   |  |
|---|--|
| <b>ACCOMPANYING APPLICATION PARTS</b>   |  |
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |  |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)   | <input type="checkbox"/> Power of Attorney       |
| 11. <input type="checkbox"/> English Translation Document (if applicable)   |  |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449  | <input type="checkbox"/> Copies of IDS Citations |
| 13. <input checked="" type="checkbox"/> Preliminary Amendment   |  |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)                                     |  |
| 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)                                  |  |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br>or its equivalent. |  |
| 17. <input type="checkbox"/> Other:   |  |


18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


|   |           |                                    |  |  |
|---|-----------|------------------------------------|--|--|
| <b>19. CORRESPONDENCE ADDRESS</b>                                     |           |                                    |  |  |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label |           | <input type="text" value="04859"/> |  | or <input type="checkbox"/> Correspondence address below |
| (Insert Customer No. or Attach bar code label here)                   |           |                                    |  |  |
| Name  |           |                                    |  |  |
| Address   |           |                                    |  |  |
| City  | State     | Zip Code                           |  |  |
| Country   | Telephone | Fax                                |  |  |

|                   |   |                                   |                |
|-------------------|---|-----------------------------------|----------------|
| Name (Print/Type) | Douglas V. Pavelko  | Registration No. (Attorney/Agent) | 36,888         |
| Signature         |  | Date                              | August 5, 2003 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

03914 U.S. PTO  
10/634235  
08/05/03

|   |                         |          |                              |  |
|---|-------------------------|----------|------------------------------|--|
| <b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b><br>Applicant(s): <b>Peter Bernhardt</b>   |                         |          | Docket No.<br><b>1-24671</b> |  |
| Serial No.  | Filing Date<br>Herewith | Examiner | Group Art Unit               |  |
| Invention: <b>BALL JOINT</b>  |                         |          |                              |  |
| <p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px auto; width: 80%;"> <b>Utility Patent Application which includes a 6 page Specification, 1 Drawing, Executed Declaration, Preliminary Amendment, Certified Copy of Priority Document, Executed Declaration and a Return Postcard</b> </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"> <u>August 5, 2003.</u><br/> <i>(Date)</i> </p> <div style="text-align: right; margin-top: 20px;"> <p><u>Toni Bosch</u><br/> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p><u></u><br/> <i>(Signature of Person Mailing Correspondence)</i></p> <p><u>EL 863359689 US</u><br/> <i>("Express Mail" Mailing Label Number)</i></p> </div> |                         |          |                              |  |
| <b>Note: Each paper must have its own certificate of mailing.</b>   |                         |          |                              |  |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$790.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor  
Examiner Name  
Group Art Unit  
Attorney Docket No.

Herewith

Peter Bernhardt

1-24671

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account:  
Deposit Account Number: 11-0417  
Deposit Account Name: MacMillan, Sobanski & Todd

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|--------------|--------------|---------------|---------------|------------------------|----------|
| 1001         | 2001         | 750           | 375           | Utility filing fee     | 750.00   |
| 1002         | 2002         | 330           | 165           | Design filing          |          |
| 1003         | 2003         | 520           | 260           | Plant filing fee       |          |
| 1004         | 2004         | 750           | 375           | Reissue filing         |          |
| 1005         | 2005         | 160           | 80            | Provisional filing fee |          |
| SUBTOTAL (1) |              |               |               |                        | (\$)     |

### 2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims Fee from below Fee Paid  
Total Claims 5 -20\*\* = 0 X 18.00 = 0.00  
Independent Claims 1 -3\*\* = 0 X 84.00 = 0.00  
Multiple Dependent =

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| 1202         | 2202         | 18            | 9             | Claims in excess of 20                                     |          |
| 1201         | 2201         | 84            | 42            | Independent claims in excess of 3                          |          |
| 1203         | 2203         | 280           | 140           | Multiple dependent claim, if not paid                      |          |
| 1204         | 2204         | 84            | 42            | ** Reissue independent claims over original patent         |          |
| 1205         | 2205         | 18            | 9             | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| 1051         | 2051         | 130           | 65            | Surcharge - late filing fee or oath  |          |
| 1052         | 2052         | 50            | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 2053         | 130           | 65            | Non - English specification  |          |
| 1812         | 2520         | 1812          | 2,520         | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804         | 920*         | 1804          | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*       | 1805          | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 2251         | 110           | 55            | Extension for reply within first month                                     |          |
| 1252         | 2252         | 410           | 205           | Extension for reply within second month                                    |          |
| 1253         | 2253         | 930           | 465           | Extension for reply within third month                                     |          |
| 1254         | 2254         | 1,450         | 725           | Extension for reply within fourth month                                    |          |
| 1255         | 2255         | 1,970         | 985           | Extension for reply within fifth month                                     |          |
| 1401         | 2401         | 320           | 160           | Notice of Appeal   |          |
| 1402         | 2402         | 320           | 160           | Filing a brief in support of an appeal                                     |          |
| 1403         | 2403         | 280           | 140           | Request for oral hearing   |          |
| 1451         | 2451         | 1,510         | 755           | Petition to institute a public use proceeding                              |          |
| 1452         | 2452         | 110           | 55            | Petition to revive - unavoidable   |          |
| 1453         | 2453         | 1,300         | 650           | Petition to revive - unintentional   |          |
| 1501         | 2501         | 1,300         | 650           | Utility issue fee (or reissue)   |          |
| 1502         | 2502         | 470           | 235           | Design issue fee   |          |
| 1503         | 2503         | 630           | 315           | Plant issue fee  |          |
| 1460         | 2460         | 130           | 65            | Petitions to the Commissioner  |          |
| 1807         | 2807         | 50            | 25            | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 1806         | 2806         | 180           | 90            | Submission of Information Disclosure Statement                             |          |
| 8021         | 28021        | 40            | 20            | Recording each patent assignment per property (times number of properties) | 40.00    |
| 1809         | 2809         | 750           | 375           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810         | 2810         | 750           | 375           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801         | 2801         | 750           | 375           | Request for Continued Examination (RCE)                                    |          |
| 1802         | 2802         | 900           | 450           | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$40.00

## SUBMITTED BY

Name (Print/Type) Douglas V. Payelko  
Signature

Registration No.  
(Attorney/Agent)

36,888

## Complete (if applicable)

Telephone (419) 255-5900  
Date August 5, 2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.